

Form PTO-1449 (modified)	Atty. Docket No. 55197-00016USPT	Serial No. 1/6 10/643,862
List of Patents and Publications for Applicant's FIRST INFORMATION DISCLOSURE STATEMENT	Applicant Michael J. Pugia et al.	
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U.S. Patent Documents							
Exam. Des.	Doc. No.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
/T.S./	A1	3,799,742	03/26/74	C.M. Coleman	23	253 R	
	A2	3,856,649	12/24/74	Genshaw et al.	204	195	
	A3	3,992,158	11/16/76	Przybylowicz et al.	23	253 TP	
	A4	4,233,029	11/11/80	Columbus	23	230R	
	A5	4,310,399	01/12/82	Columbus	204	195 R	
	A6	4,446,232	5/1/84	Liotta	435	7	
	A7	4,515,889	05/07/85	Klose et al.	435	4	
	A8	4,587,220	05/06/86	Mayambala-Mwanika et al.	436	66	
	A9	4,600,507	07/15/86	Shimizu et al.	210	94	
	A10	4,618,476	10/21/86	Columbus	422	100	
	A11	4,647,654	03/03/87	Knowles et al.	530	326	
	A12	4,658,022	04/14/87	Knowles et al.	530	402	
	A13	4,676,274	06/30/87	Brown	137	806	
	A14	4,727,036	02/23/88	Knowles et al.	436	547	
	A15	4,755,472	07/05/88	Ismail et al.	436	66	
	A16	4,806,311	02/21/89	Greenquist	422	56	
	A17	4,908,112	03/13/90	Pace	204	299	
	A18	4,963,498	10/16/90	Hillman et al.	436	69	
	A19	4,968,742	11/06/90	Hillman et al.	436	69	
	A20	4,970,171	11/13/90	Messenger et al.	436	66	
	A21	5,053,197	10/1/91	Bowen	422	58	
	A22	5,089,420	02/18/92	Albarella et al.	436	66	
	A23	5,096,836	03/17/92	Macho et al.	436	169	
	A24	5,110,555	05/05/92	Moore et al.	422	100	
	A25	5,141,868	08/25/92	Shanks et al.	435	288	
	A26	5,151,369	09/29/92	Lewis et al.	436	67	
/T.S./	A27	5,160,702	11/03/92	Kopf-Sill et al.	422	72	

EXAMINER: /Tony Soohoo/	DATE CONSIDERED: 01/09/2008
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List of Patents and Publications for Applicant's FIRST INFORMATION DISCLOSURE STATEMENT	Applicant Michael J. Pugia et al.	
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/T.S./	A28	5,164,598	11/17/92	Hillman et al.	250	341	
	A29	5,180,480	01/19/93	Manz	204	299 R	
	A30	5,187,104	02/16/93	Corey et al.	436	86	
	A31	5,202,261	04/13/93	Musho et al.	435	88	
	A32	5,208,163	05/04/93	Charlton et al.	436	63	
	A33	5,250,439	10/05/93	Musho et al.	435	25	
	A34	5,258,311	11/02/93	Lewis et al.	436	63	
	A35	5,279,790	01/18/94	Nilsson et al.	422	102	
	A36	5,286,454	02/15/94	Nilsson et al.	422	102	
	A37	5,296,192	03/22/94	Carroll et al.	422	56	
	A38	5,318,894	06/07/94	Pugia	435	28	
	A39	5,360,595	11/01/94	Bell et al.	422	56	
	A40	5,372,918	12/13/94	Usui et al.	430	379	
	A41	5,424,125	06/13/95	Ballard et al.	428	364	
	A42	5,443,890	08/22/95	Öhman	428	167	
	A43	5,458,852	10/17/95	Buechler	422	58	
	A44	5,478,751	12/26/95	Oosta et al.	436	165	
	A45	5,585,069	12/17/96	Zanzucchi et al.	422	100	
	A46	5,631,303	05/20/97	Reinecke	521	40.5	
	A47	5,716,741	02/10/98	Reinecke et al.	430	8	
	A48	5,716,851	02/10/98	Pugia et al.	436	86	
	A49	5,826,981	10/27/98	Fowler et al.	366	337	
	A50	5,834,314	11/10/98	Gates et al.	436	52	
	A51	5,837,200	10/17/98	Diessel et al.	422	73	
	A52	5,851,776	12/22/98	Valkirs	435	7.1	
	A53	5,866,345	02/02/99	Wilding et al.	435	7.21	
	A54	5,885,527	03/23/99	Buechler	422	58	
	A55	5,912,134	06/15/99	Shartle	435	7.24	
	A56	5,922,615	07/13/99	Nowakowski et al.	436	518	
/T.S./	A57	5,932,315	08/03/99	Lum et al.	428	172	

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List of Patents and Publications for Applicant's FIRST INFORMATION DISCLOSURE STATEMENT	Applicant Michael J. Pugia et al. sheet 3/6	
Page 3	Filing Date: August 19, 2003	Group: 1923 1797

/T.S./	A58	5,939,272	08/17/99	Buechler et al.	435	7.1	
	A59	5,942,443	08/24/99	Parce et al.	436	514	
	A60	5,948,227	09/07/99	Dubrow	204	455	
	A61	5,955,028	09/21/99	Chow	422	63	
	A62	5,957,579	09/28/99	Kopf-Sill et al.	366	340	
	A63	5,958,203	09/28/99	Parce et al.	204	451	
	A64	5,958,694	09/28/99	Nikiforov	435	6	
	A65	5,959,291	09/28/99	Jensen	250	214 R	
	A66	5,964,995	10/12/99	Nikiforov et al.	204	450	
	A67	5,965,001	10/12/99	Chow et al.	204	600	
	A68	5,965,375	10/12/99	Valkirs	435	7.2	
	A69	5,965,410	10/12/99	Chow et al.	435	91.2	
	A70	5,972,187	10/26/99	Parce et al.	204	453	
	A71	5,976,336	11/02/99	Dubrow et al.	204	453	
	A72	5,985,579	11/16/99	Buechler et al.	435	7.1	
	A73	5,989,402	11/23/99	Chow et al.	204	601	
	A74	5,994,150	11/30/99	Challener et al.	436	518	
	A75	6,001,231	12/14/99	Kopf-Sill	204	454	
	A76	6,002,475	12/14/99	Boyd et al.	356	246	
	A77	6,004,515	12/21/99	Parce et al.	422	100	
	A78	6,011,252	01/04/00	Jensen	250	214 R	
	A79	6,012,902	01/11/00	Parce	417	48	
	A80	6,019,944	02/01/00	Buechler	422	58	
	A81	6,024,138	02/15/00	Fritz et al.	141	31	
	A82	6,030,581	02/29/00	Virtanen	422	68.1	
	A83	6,037,455	03/14/00	Buechler	530	404	
	A84	6,042,709	03/28/00	Parce et al.	204	453	
	A85	6,042,710	03/28/00	Dubrow	204	454	
	A86	6,043,043	03/28/00	Yip	435	72	
/T.S./	A87	6,046,056	04/04/00	Parce et al.	436	514	

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Page 4	Filing Date: August 19, 2003	Group: 1723 — 1797 sheet 4/6

✓/T.S./	A88	6,048,498	04/11/00	Kennedy	422	99	
	A89	6,068,752	05/30/00	Dubrow et al.	204	604	
	A90	6,071,478	06/06/00	Chow	422	81	
	A91	6,074,725	06/13/00	Kennedy	428	188	
	A92	6,080,295	06/27/00	Parce et al.	204	451	
	A93	6,086,740	07/11/00	Kennedy	204	601	
	A94	6,086,825	07/11/00	Sundberg et al.	422	100	
	A95	6,090,251	07/18/00	Sundberg et al.	204	453	
	A96	6,100,099	08/08/00	Gordon et al.	436	518	
	A97	6,100,541	08/08/00	Nagle et al.	250	573	
	A98	6,106,779	08/22/00	Buechler et al.	422	55	
	A99	6,107,044	08/22/00	Nikiforov	435	6	
	A100	6,113,855	09/05/00	Buechler	422	58	
	A101	6,123,798	09/26/00	Gandhi et al.	156	292	
	A102	6,129,826	10/10/00	Nikiforov et al.	204	450	
	A103	6,130,098	10/10/00	Handique et al.	436	180	
	A104	6,132,685	10/17/00	Kercso et al.	422	104	
	A105	6,136,610	10/24/00	Polito et al.	436	514	
	A106	6,143,576	11/07/00	Buechler	436	518	
	A107	6,148,508	11/21/00	Wolk	29	825	
	A108	6,149,870	11/21/00	Parce et al.	422	100	
	A109	6,150,119	11/21/00	Kopf-Sill et al.	435	7.1	
	A110	6,150,180	11/21/00	Parce et al.	436	514	
	A111	6,156,270	12/5/00	Buechler	422	58	
	A112	6,176,119 B1	01/23/01	Kintzig	73	53.01	
	A113	6,176,991 B1	01/23/01	Nordman	204	601	
	A114	6,185,029 B1	02/06/01	Ishihara	359	216	
	A115	6,207,000 B1	03/27/01	Schwobel et al.	156	248	
	A116	6,235,175 B1	05/22/01	Dubrow et al.	204	453	
✓/T.S./	A117	6,238,538 B1	05/29/01	Parce et al.	204	600	

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List of Patents and Publications for Applicant's FIRST INFORMATION DISCLOSURE STATEMENT	Applicant Michael J. Pugia et al. sheet 5/6	
Page 5	Filing Date: August 19, 2003	Group: 1729 1797

/T.S./	A118	6,251,567 B1	06/26/01	Reinecke et al.	427	581	
	A119	6,254,754 B1	07/03/01	Ross et al.	204	548	
	A120	6,281,254 B1	08/28/01	Nakajima et al.	516	53	
	A121	6,268,025 B1	07/31/01	Reinecke et al.	427	581	
	A122	6,284,113 B1	09/04/01	Bjornson et al.	204	453	
	A123	6,287,520 B1	09/11/01	Parce et al.	422	100	
	A124	6,296,020 B1	10/02/01	McNeely et al.	137	806	
	A125	6,296,126 B1	10/02/01	Peters	210	456	
	A126	2001/0037099 A1	11/01/01	Effenhauser	604	352	02/27/01
	A127	6,319,469	11/20/01	Mian et al.	422	64	
	A128	6,321,791 B1	11/17/01	Chow	137	833	
	A129	6,322,683 B1	Wolk et al.	204	600		
	A130	2002/0023684 A1	02/28/02	Chow	137	833	6/8/01
	A131	6,379,974 B1	04/30/02	Parce et al.	436	180	
	A132	6,428,664 B1	08/06/02	Bhullar et al.	204	403.03	
	A133	2002/0112961 A1	08/22/02	O'Connor et al.	204	601	4/17/02
	A134	2002/0114738 A1	08/22/02	Wyzgol et al.	422	100	10/25/01
	A135	6,540,896 B1	04/01/03	Manz et al.	204	451	
	A136	6,582,662 B1	06/24/03	Kellogg et al.	422	72	
	A137	6,632,399 B1	10/14/03	Kellogg et al.	422	72	
	A138	6,379,974 B1	04/30/02	Parce et al.	436	180	
	A139	6,653,625 B2	11/25/03	Andersson et al.	250	288	
	A140	6,709,559 B2	03/23/04	Sundberg et al.	204	604	
	A141	6,734,401 B2	05/11/04	Bedingham et al.	219	388	
	A142	6,776,965 B2	08/17/04	Wyzgol et al.	422	100	
	A143	6,811,752 B2	11/02/04	Barbera-Guillem	422	100	
✓/T.S./	A144	6,878,555 B2	04/12/05	Andersson et al.	436	180	

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Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
/T.S./	B1	WO 95/17965	07/06/95	PCT	B01L	3/00	
	B2	EP 0 693 560 A1	01/24/96	Europe	C12Q	1/68	
	B3	WO 97/01055	01/09/97	PCT	F16K	7/17	
	B4	WO 99/46045 A1	09/16/99	PCT	B01L	3/00	
	B5	WO 00/22436	04/20/00	PCT	G01N	33/567	
	B6	WO 00/21728	04/20/00	PCT	B29C	33/38	
	B7	WO 00/25921	05/11/00	PCT	B01L	3/00	
	B8	WO 00/34781 A2	06/15/00	PCT	G01N	33/53	
	B9	WO 00/34781 A3	06/15/00	PCT	G01N	33/553	
	B10	WO 00/36416 A1	06/22/00	PCT	G01N	33/543	
	B11	EP 1 013 341 A2	06/28/00	Europe	B01L	3/00	
	B12	EP 1 013 341 A3	01/10/01	Europe	B01L	3/00	
	B13	WO 01/12329 A1	02/22/01	PCT	B01L	3/02	
	B14	WO 01/14063 A1	03/01/01	PCT	B01L	3/00	
	B15	WO 01/14116 A1	03/01/01	PCT	B29C	33/42	
	B16	WO 01/19586 A1	03/22/01	PCT	B29C	33/42	
	B17	WO 01/24931 A1	04/12/01	PCT	B01L	3/00	
	B18	WO 01/54810 A1	08/02/01	PCT	B01J	19/00	
	B19	WO 02/18053 A1	03/07/02	PCT	B01L	3/02	
	B20	WO 02/28532 A2	04/11/02	PCT	B01L	3/00	
	B21	WO 02/28532 A3	04/11/02	PCT	B01L	3/00	
	B22	EP 1 013 341 B1	12/10/03	Europe	B01L	3/00	
✓ /T.S./	B23	CA 2,323,424	03/08/05	Canada	B01L	3/00	

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)		
Exam. Init.	Ref. Des.	Citation
	C01	

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U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
/T.S./	A01	3,798,459	03/19/74	Anderson et al.	250	218	
	A02	3,804,533	04/16/74	Scott	356	197	
	A03	4,515,889	05/07/85	Klose et al.	435	4	
	A04	4,534,659	08/13/85	Dourdeville et al.	366	338	
	A05	5,024,647	06/18/91	Jubin et al.	494	37	
	A06	5,222,808	06/29/93	Sugarman et al.	366	274	
	A07	5,286,454	02/15/94	Nilsson et al.	422	102	
	A08	5,826,981	10/27/98	Fowler et al.	366	337	
	A09	5,842,787	12/01/98	Kopf-Sill et al.	366	340	
	A10	5,921,678	07/13/99	Desai et al.	366	336	
	A11	6,063,589	05/16/00	Kellogg et al.	435	24	
	A12	6,065,864	05/23/00	Evans et al.	366	167.1	
	A13	6,082,891	07/04/00	Schubert et al.	366	338	
	A14	6,136,272	10/24/00	Weigl et al.	422	82.05	
	A15	6,143,248	11/07/00	Kellogg et al.	422	72	
	A16	6,170,981 B1	01/09/01	Regnier et al.	366	336	
	A17	6,186,660 B1	02/13/01	Kopf-Sill et al.	366	340	
	A18	6,190,034 B1	02/20/01	Nielsen et al.	366	336	
	A19	6,241,379 B1	06/05/01	Larsen	366	181.5	
	A20	6,264,900 B1	07/24/01	Schubert et al.	422	224	
	A21	6,399,361 B2	06/04/02	Brotherston et al.	435	283.1	
	A22	6,457,854 B1	10/01/02	Koop et al.	366	336	
	A23	US2001/0042712 A1	11/22/01	Battrell et al.	210	511	
	A24	US2001/0048637 A1	12/06/01	Weigl et al.	366	341	
	A25	US2001/0048900 A1	12/03/01	Bardell et al.	422	100	
✓/T.S./	A26	US20020015959 A1	02/07/02	Bardell et al.	435	6	

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↓ T.S./	A27	US20020048 535 A1	04/25/02	Weigl et al.	422	100	
	A28	US20020058 332 A1	05/16/02	Quake et al.	435	288.3	
	A29	US20020076 300 A1	06/20/02	Patterson, JR	411	92	
	A30	US2002/0076 350 A1	06/20/02	Weigl et al.	422	58	
	A31	US2002/0079 219 A1	06/27/02	Zhao et al.	204	451	
	A32	US2002/0097 532 A1	07/25/02	Voldman	360	323	
	A33	US2002/0097 632 A1	07/25/02	Kellogg et al.	366	220	
	A34	US2002/0097 633 A1	07/25/02	O'Connor et al.	366	336	
↓ T.S./	A35	US2002/0114 738 A1	08/22/02	Wyzgol et al.	422	100	

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T.S./	B02	EP 0 871 539 B1	✓ 02/20/02	Europe	B01D	69/02	

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
↓ T.S./	C01	Jesse Fowler et al. "Enhancement Of Mixing By Droplet-Based Microfluidics" Mechanical and Aerospace Engineering Dept., University of California. 3 pgs, copyright 2002. ✓
	C02	Whitesides et al. - Physics Today Online 4/11/2003, pgs. ✓
	C03	R. W. Barber et al. "Rapid Mixing Devices For Life Science App . 3pgs, no date. ✓
↓ T.S./	C04	Laminar and turbulent flow No date. ✓
	C05	David C. Duffy et al. - Anal. Chem. 1999, 71, 4669-4678 pages 4669-4678. ✓

EXAMINER: <u>/Tony Soohoo/</u>	DATE CONSIDERED: 3-30-2005
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



IN LIEU OF PTO-SB/08.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/643,862
				Filing Date	August 19, 2003
				First Named Inventor	Michael J. Pugia et al.
				Art Unit	1723 1797
				Examiner Name	Tony Glen Soohoo
Sheet	1	of	1	Attorney Docket Number	017191.0036

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number-Kind Code ² (if known)			
/T.S./		6,877,892	04/12/2005	Karp	
/T.S./		6,919,058	07/19/2005	Andersson et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		T ³
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
/T.S./		Walters et al: "Fluidynamische Aspekte in Mikrostrukturreaktoren" Chemie. Ingenieur. Technik, Verlag Chemie GMBH. Weinheim, DE, vol. 71, no. 5, May 1999 (1999-05), pages 447-455, XPOOO828911 ISSN: 0009-286X.		
/T.S./		Walter et al.; "Fluid Dynamic Aspects of Microreactors," <i>Chemical Engineer Technology</i> , Vol. 71 (5), pp. 447-455, 1999.		

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Tony Soohoo/	Date Considered	07/31/2006
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